08-13555-mg Doc 27063 Filed 03/20/12 Entered 03/20/12 23:04:07 Main Document Pq 1 of 5 UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK In re Chapter 11 Case No. LEHMAN BROTHERS HOLDINGS INC., et al., 08-13555 (JMP) (Jointly Administered) Debtors. Ref. Docket Nos. 25989, 25998 **AFFIDAVIT OF SERVICE** STATE OF NEW YORK ) ss.: COUNTY OF NEW YORK LAUREN RODRIGUEZ, being duly sworn, deposes and says: 1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the abovecaptioned action. 2. On March 6, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B. 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED, PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT." /s/ Lauren Rodriguez\_\_\_\_

Lauren Rodriguez

Sworn to before me this 20<sup>th</sup> day of March, 2012

/s/ Cassandra Murray

Notary Public, State of New York No. 01MU6220179 Qualified in Queens County Commission Expires April 12, 2014 **EXHIBIT A** 

## 08-13555-mg Doc 27063 Filed 03/20/12 Entered 03/20/12 23:04:07 Main Document Pg 3 of 5

UNITED STATES BANKRUPTCY COURT Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, transferor refers to the claimant who is selling or otherwise assigning its claim, while transferee refers to the party who is purchasing or otherwise being assigned the claim.

TO: UZUSHIO ELECTRIC CO.,LTD
ATTN: FINANCE DEPARTMENT
1520 KUO
ONISHI-CHO
IMABARI-CITY
EHIME-KEN
799-2294
JAPAN

Please note that your claim # 25733 in the above referenced case and in the amount of \$1,336,815.05 has been transferred (unless previously expunged by court order)

UBS SECURITIES JAPAN, LTD
TRANSFEROR: UZUSHIO ELECTRIC CO.,LTD
OTEMACHI FIRST SQUARE
5-1, OTEMACHI 1-CHOME
CHIYODA-KU
TOKYO 100-0004
JAPAN

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT Southern District of New York One Bowling Green New York,NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 25989 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 03/06/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on March 6, 2012.

**EXHIBIT B** 

Н	08-13555-mg	Doc 27063	Filed 03/20/12 Entered 03/20/12 23:04:07 Pg 5 of 5	Main Document
PAGE:				
LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING	Address RICHARDS KIBBE & ORBE L.L.P. ONE WORLD FINANCIAL CENTER ATTN: MANAGING CLERK NEW YORK NY 10281-1003 RICHARDS KIBBE & ORBE L.L.P. ONE WORLD FINANCIAL CENTER ATTN: MANAGING CLERK NEW YORK NY 10281-1003 TRANSFEROR: NOMURA CAPITAL MARKETS PLC 25, CABOT SQUARE CANARY WHARF LONDON E14 4QA ENGLAND SHEARMAN & STERLING LLP C/O DOUGLAS P. BARTNER SOLOMON J. NOH 599 LEXINGTON AVENUE NEW YORK NY 10022 C/O MATTHEW WADDRAMS NOWURA HOUSE 1 ST. MARTIN'S-LE-GRAND LONDON ECLA 4NP UNITED KINGDOM TRANSFEROR: UZUSHIO ELECTRIC CO.,LTD OTEMACHI FIRST SQUARE 5-1, OTEMACHI 1-CHOME CHIYODA-KU TOKYO 100-0004 JAPAN ATTN: FINANCE DEPARTMENT 1520 KUO ONISHI-CHO IMABARI-CITY EHIME-KEN 799-2294 JAPAN	©		
TIME: 21:29:16 DATE: 03/06/12	Name MORGAN STANLEY & CO. INTERNATIONAL PLC MORGAN STANLEY & CO. INTERNATIONAL PLC NOMURA CAPITAL MARKETS PLC NOMURA CAPITAL MARKETS PLC UBS SECURITIES JAPAN, LTD UZUSHIO ELECTRIC CO.,LTD	Total Number of Records Printed		

EPIQ BANKRUPTCY SOLUTIONS, LLC